



Attorney Pocket # 4061-24PUS

DT03 Rec'd PCT/PTO 1 8 OCT 2004

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Silvo ZUPANCIC et al.

Serial No.: 10/509,611

Filed: September 27, 2004

For Process for the Preparation of 4-  
oxytetrahydropyran-2-ones

Examiner:

Group Art:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

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Name of applicant, assignee or Registered Representative

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S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed documents are also enclosed.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated,

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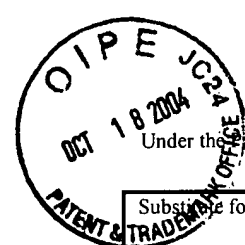
If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By: \_\_\_\_\_

  
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Dated: October 15, 2004



10 Rec'd PCT/PTC 18 OCT 2004 PTO/SB/08A (04-03)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/509,611
		Filing Date	September 27, 2004
		First Named Inventor	Silvo ZUPANCIC
		Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number		4061-24PUS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind-Code <sup>2</sup> (if known)			
		US-5,393,893	2-28-95	Kubela et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known)				
		WO 00/46217	8/10/00	Marko ŽLIČAR et al.		
		WO 01/72734	10/4/01	K. LEE, et al.		
		WO 01/45484	6/28/01	C. HONG et al.		
		WO 98/32751	7/30/98	R. R. VRIES et al.		
		EP 0 331 240	9/6/89	T. J. Lee et al.		
		EP 0 349 063	1/3/90	M. E. Duggan et al.		
		EP 0 033 538	8/12/81	A. K. Willard et al.		
		EP 0 864 560	9/16/04	J. M. KHANNA et al.		
		EP 0 864 569	8/16/01	Yatendra KUMAR et al.		
		EP 0 444 888	9/4/91	A. E. DECAMP et al.		
		EP 0 137 445	3/1/90	M. Sletzinger et al.		
		EP 0 299 656	12/12/90	T. R. Verhoeven et al.		

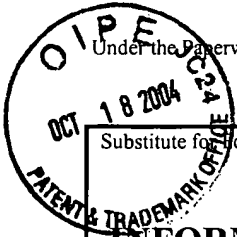
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

***Complete if Known***

Application Number	10/509,611
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Filing Date	September 27, 2004
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First Named Inventor	Silvo ZUPANCIC
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## Art Unit

Examiner Name

Attorney Docket Number	4061-24PUS
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## NON PATENT LITERATURE DOCUMENTS

[illegible]

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